APPENDIX D

Information Disclosure Statement



POSTCARD

The following were received in the United States Patent and Trademark Office:

Title of Invention: METHOD FOR FAST, ROBUST, MULTI-DIMENSIONAL PATTERN RECOGNITION

Attorney Docket No.: C98-035

Attorney:

Russ Weinzimmer

Applicant:

Silver et al.

or Tracy Calabresi

Serial No.: 09/114,335

Filing Date:

07/13/98

Transmittal of Information Disclosure Statement after three months of filing Application and before mailing of a first Office Action on the merits (37 CFR 1.97(b)).

Form PTO-1449 (in duplicate)

• Copies of references cited in Form PTO-1449.



IN THE CHIEF STATES PATENT AND TRADEMARK OFFICE

In re Application of: Silver et al.

Serial No.:

09/114,335

Group No.:

2741

Filed:

July 13. 1998

Examiner:

For:

METHOD FOR FAST, ROBUST, MULTI-DIMENSIONAL

PATTERN RECOGNITION

Attorney Docket Number:

C98-035

Assistant Commissioner for Patents Washington, DC 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
AFTER THREE MONTHS OF FILING APPLICATION AND BEFORE MAILING OF A
FIRST OFFICE ACTION ON THE MERITS (37 CFR 1.97(b))

	CERTIFICATE OF N	MAILING (37 CFR 1.3a)
the United States Postal	November 25 Service with sufficient postage:	this correspondence is being deposited with is first class mail in an envelope addressed to the Assistant
Commissioner for Paten	ts, Washington, DC 20231.	Linda Washburn Gagen

1. Authority

Pursuant to 37 CFR § § 1.56 and 1.97-1.99, the Applicant cites to the Examiner documents containing information which, in good-faith judgment of the Applicant, may be relevant to the subject matter claimed in the above-identified application. The Applicant also encloses copies of the English-language cited documents.

In submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, or the extent of any search performed, or that more relevant information does not exist.

In submitting this Information Disclosure Statement, the Applicant makes no representation that any document cited in this Information Disclosure Statement is, or is considered to be prior art as defined by 35 USC §§ 102 and 103.

2. Time of Transmittal of Accompanying Information Disclosure Statement

The Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application but *before* the mailing date of the first Office action on the merits.

It is Applicant's understanding that no fee is due; however, if the Applicant is incorrect. please charge any necessary fees for the above-captioned application to Deposit Account No. 03-2357.

3. Co-pending U.S. Applications

Please be aware that the following co-pending U.S. Patent Applications are related to this patent application:

- 08/727,721 Filed October 7, 1996
- 08/971,241 Filed November 15, 1997
- 09/001,869 Filed December 31, 1997
- 09/108,279 Filed July 1, 1998

The Applicant respectfully requests that:

- The Examiner consider the cited information in reaching a determination concerning the patentability of the pending claims; and
- The enclosed Form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

Respectfully Submitted,

Russ Weinzimmer Registration No. 36,717

or Tracy M. Calabresi Registration No. 38,920

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Complete if Known Substitute for form 1449A/PTO Application Number 09/114,335 07/13/98 INFORMATION DISCLOSURE Filing Date Silver First Named Inventor STATEMENT BY APPLICANT 2741 Group Art Unit (use as many sheets as necessary) Examiner Name 4 1 Sheet of Attorney Docket Number C98-035

		U.S.	PATENT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Document Kind Code Number (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3.069.654	Hough	12-18-1962	
		3.936.800	Ejiri et al.	02-03-1976	
		4.200.861	Hubach et al.	04-29-1980	
		4,441.206	Kuniyoshi et ai.	04-03-1984	
		4.567.610	McConnell	01-28-1986	
	<u> </u>	4.637.055	Taylor	01-13-1987	
	1	4.651.341	Nakashima et al.	03-17-1987	
		4.672.676	Linger	06-09-1987	
		4.736.437	Sacks et ai.	04-05-1988	
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		4.955.062	Terui	09-04-1990	
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		5.048.094	Aoyama et al.	09-10-1991	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

Unique citation designation number. See attached Kinds of U.S. Patent Documents. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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			U.S.	PATENT DOCUMENTS		
Examiner Initials	Cite No.3	U.S. Patent Do	- Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages. Columns. Lines. Where Relevant Passages or Relevant Figures Appear
		5,168,530		Peregrim et al.	12-01-1992	
		5,253,306		Nishio	10-12-1993	
		5.313,532		Harvey et al.	05-17-1994	<u></u>
		5,347,595		Bokser	09-13-1994	<u> </u>
		5.384.711		Kanai et al.	01-24-1995	<u> </u>
		5,481,712		Silver et al.	01-02-1996	<u> </u>
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		5.602.937		Bedrosian et al.	02-11-1997	
		5,657,403		Woiff et ai.	08-12-1997	
		5,717,785		Silver	02-10-1998	
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^{****}Unique citation designation number. ** See attached Kinds of U.S. Patent Documents. ** Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ** For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ** Applicant is to place a check mark here if English language Translation is attached.

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	C	THER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Name of the Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T:
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Sheet	4	of	4	Attorney Docket Number	C98-035

	•	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. 1	Name of the Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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